

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Christina Hsu et al.


Serial No.: Unassigned

Filed: September 17, 2003

For: METHOD AND APPARATUS FOR  
SUPPORTING ERROR HANDLING  
IN A WEB PRESENTATION  
ARCHITECTURE

§  
§ Group Art Unit: Unassigned  
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§ Examiner: Unassigned  
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§ Atty Docket: 200208013-1  
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**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313**

<b>EXPRESS MAIL MAILING LABEL</b>	
NUMBER:	EL 982 235 937 US
DATE OF DEPOSIT:	October 1, 2003
<i>Pursuant to 37 C.F.R. § 1.10, I hereby certify that I am personally depositing this paper or fee with the U.S. Postal Service, "Express Mail Post Office to Addressee" service on the date indicated above in a sealed envelope (a) having the above-numbered Express Mail label and sufficient postage affixed, and (b) addressed to the Commissioner for Patents, Alexandria, VA 22313.</i>	
October 1, 2003 Date	 Helen Tinsley

Dear Sirs:

**ADDENDUM TO INFORMATION DISCLOSURE STATEMENT**

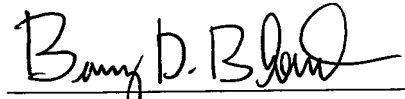
The attached "Information Disclosure Statement" indicates that Applicants have submitted copies of patents, publications, and other information. However, the Patent Office has recently notified applicants that:

The Office hereby waives the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003.

Accordingly, Applicants have not submitted copies of any U.S. Patents or any U.S. Patent Application Publications cited on the attached form PTO-1449.

Respectfully submitted,

Date: September 17, 2003

A handwritten signature in black ink, appearing to read "Barry D. Blount", written over a horizontal line.

Barry D. Blount  
Reg. No. 35,069  
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**CORRESPONDENCE ADDRESS:**

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Fort Collins, CO 80527-2400

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Christina Hsu et al.

Confirmation No.:

Application No.: Unassigned

Examiner:

Filing Date: Herewith

Group Art Unit:

Title: METHOD AND APPARATUS FOR SUPPORTING ERROR HANDLING IN A WEB  
PRESENTATION ARCHITECTURE

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
  - ☐ Statement under 37 CFR 1.97(e), or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
  - ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
  - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL 982 235 935 US**

Date of Deposit **October 1, 2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

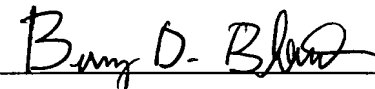
By

Typed Name: **Helen Tinsley**

Respectfully submitted,

**Christina Hsu et al.**

By



**Barry D. Blount**

Attorney/Agent for Applicant(s)

Reg. No. **35,069**

Date: **October 1, 2003**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200208013-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Christina Hsu et al.

FILING DATE

Herewith

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,606,708	08-12-03	Devine et al.	
	1B	6,536,037	03-18-03	Guheen et al.	
	1C	6,529,936	03-04-03	Mayo et al.	
	1D	6,517,587	02-11-03	Satyavolu et al.	
	1E	6,505,343	01-07-03	Menon et al.	
	1F	6,430,688	08-06-02	Kohl et al.	
	1G	6,397,245 B1	05-28-02	Johnson, II et al.	
	1H	6,380,959	04-30-02	Wang et al.	
	1I	6,345,292	02-05-02	Daugherty et al.	
	1J	6,332,161	12-18-01	Sasson	
	1K	6,278,456	08-21-01	Wang et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Sunny K. Yee et al., entitled Method and Apparatus for Supporting Object Caching in a Web Present Application, U.S. Serial No. 10/652,388, patent application filed in the USPTO 08/29/2003.
	1R	Brian James DeHamer et al., entitled Web Presentation Architecture that Supports Page Navigation Management, U.S. Serial No. 10/652,988, patent application filed in the USPTO 08/29/2003.
	1S	Brian James DeHamer et al., entitled Method and Apparatus for Supporting XML-Based Service Consumption in a Web Presentation Architecture, U.S. Serial No. 10/652,469, patent application filed in the USPTO 08/29/2003.

EXAMINER

DATE CONSIDERED

**PATENT APPLICATION**

Sheet 2 of 2

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>200208013-1</b>	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT <b>Christina Hsu t al.</b>		
	FILING DATE <b>Herewith</b>	GROUP	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	6,151,599	11-21-00	Shrader et al.	
	2B	6,018,343	01-25-00	Wang et al.	
	2C				
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	2Q	
	2R	
	2S	

EXAMINER	DATE CONSIDERED
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